#4

| Form PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | CASE NO. SRI/4438 | SERIAL NO. 09/894,898 |

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| APPLICANT BUTZBERGER |
| FILING DATE June 28, 2001 | GROUP ~~2041~~ 2654 |

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MP.		5,699,456	12/16/97	Brown, et al	382		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER *(Including Author, Title, Date, Pertinent Pages, Etc.)*

| EXAMINER | DATE CONSIDERED 7-20-04 |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#3

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INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT Butzberger		
	FILING DATE 6/28/01	GROUP ~~2641~~ 2654	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

NP		S.J. Young, et al., "Token Passing: A Simple Conceptual Model for Connected Speech Recognition Systems", Cambridge University Engineering Department, July 31 1989, pages 1-23.
		X.D. Huang, Y. Ariki, M.A. Jack, "Hidden Markov Models for Speech Recognition", Edinburgh University Press, 1990, chapter 2.

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SRI/4438